


Algorithms - Spring '25

Dynamic Programming:
LIS



Recap

• HW0 ← grades is posted

↳ Regrade: email to me

• Next HW due Monday

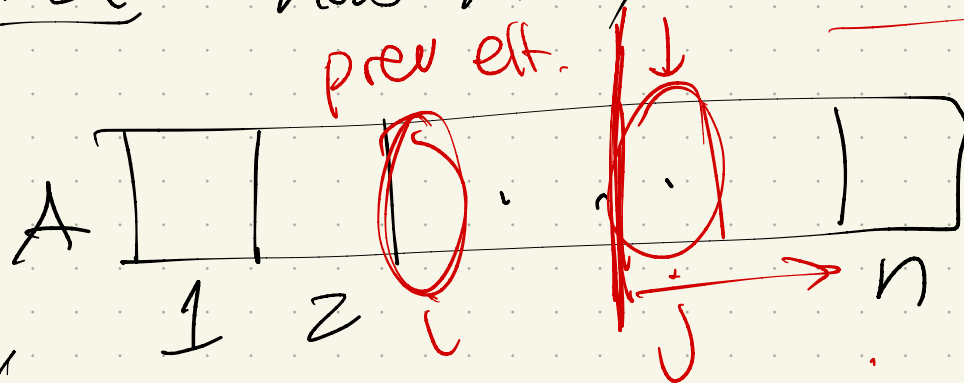
• Reading on Monday

Recap: Longest Increasing Subsequence

Why "jump to the middle"?

Need a recursion!

First: how many subsequences?

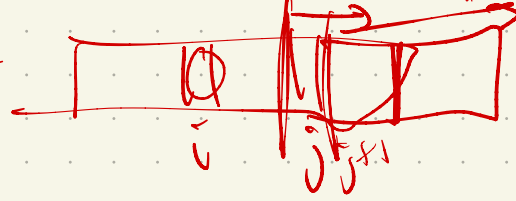


↳ could use or skip each #,
so $\leq 2^n$ worst case

Backtracking approach:

At index j : decide if
we can include j .
If so, try both with & w/out $A[j]$

Result:



Given two indices i and j , where $i < j$, find the longest increasing subsequence of $A[j..n]$ in which every element is larger than $A[i]$.

Store last "taken" index i .
Consider including $A[j]$:

- if $A[i] \geq A[j]$ → must skip!
- if $A[i]$ is less: try both options

Recursion:

$$LISbigger(i, j) = \begin{cases} 0 & \text{if } j > n \\ LISbigger(i, j+1) & \text{if } A[i] \geq A[j] \\ \max \begin{cases} LISbigger(i, j+1) \\ 1 + LISbigger(j, j+1) \end{cases} & \text{otherwise} \end{cases}$$

Include $A[j]$

Skip $A[j]$

skip j & move to $j+1$

Code version: (helper function)

LISBIGGER(i, j):

if $j > n$

return 0

else if $A[i] \geq A[j]$

return LISBIGGER($i, j + 1$)

else

skip \leftarrow LISBIGGER($i, j + 1$)

take \leftarrow LISBIGGER($j, j + 1$) + 1

return $\max\{\text{skip}, \text{take}\}$

try both

must skip $A[j]$

Problem - what did we want??

LIS($A[1..n]$)

So: don't forget our "main":

LIS($A[1..n]$):

$A[0] \leftarrow -\infty$

return LISBIGGER(0, 1)

Example: $A: [3, 10, 2, 11, 5, 7]$

$\hookrightarrow [-\infty, 3, 10, 2, 11, 5, 7]$

\uparrow dummy at $A[0]$

LIS(0, 1)

$A[1]$ with 3:

$A[1]$ without 3

LIS(1, 2)

LIS(0, 2)

with 10
 $+1$

w/out 10

with 10

without 10

LIS(2, 3)

LIS(1, 3)

LIS(2, 3)

LIS(0, 3)

without

Returns a #

without

without

with

without

LIS(2, 4)

LIS(1, 4)

LIS(2, 4)

LIS(3, 4)

LIS(0, 4)

$\uparrow 1$
LIS(0, 6)

Next: memoize?

What sort of calls are we making often?

Can we save them, & avoid recomputing over and over?

$$LISbigger(i, j) = \begin{cases} 0 & \text{if } j > n \\ LISbigger(i, j+1) & \text{if } A[i] \geq A[j] \\ \max \left\{ \begin{array}{l} LISbigger(i, j+1) \\ 1 + LISbigger(j, j+1) \end{array} \right\} & \text{otherwise} \end{cases}$$

$LISbigger(i, j)$

LISBIGGER(i, j):

if $j > n$

return 0

else if $A[i] \geq A[j]$

return LISBIGGER(i, j+1)

else

$skip \leftarrow LISBIGGER(i, j+1)$

$take \leftarrow LISBIGGER(j, j+1) + 1$

return $\max\{skip, take\}$

↳ store these

Here:

$$\text{LISbigger}(i, j) = \begin{cases} 0 & \text{if } j > n \\ \text{LISbigger}(i, j+1) & \text{if } A[i] \geq A[j] \\ \max \left\{ \begin{array}{l} \text{LISbigger}(i, j+1) \\ 1 + \text{LISbigger}(j, j+1) \end{array} \right\} & \text{otherwise} \end{cases}$$

This is a recursion, but think for a moment of it as a function.

After computing, store values!

How many values to store?
 $\text{LISbigger}(i, j)$
for $i = 0 \dots n-1$ $n \times n$ array
where $j = 1 \dots n$
where $i < j$ $O(n^2)$

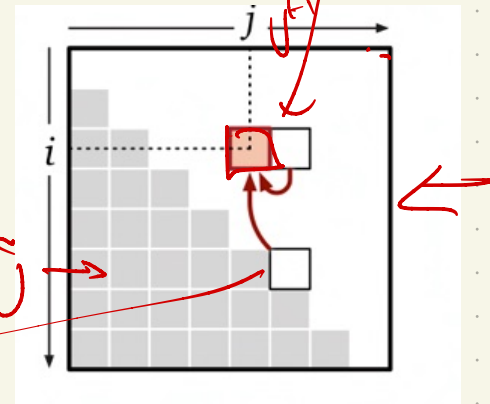
How long to compute each?
 $O(1)$ time

Now, can we do the same trick as Fibonacci memorization, & convert to something loop-based?

Rethink:

To fill in $L[i][j]$, what do I need? without: $L[i+1][j+1]$

So, go in that order!



Ex: $A = [10, 2, 4, 1, 6, 11, 7, 9]$

	0	1	2	3	4	5	6	7	8	9
0									1	0
1								0	0	0
2								1	0	0
3				0	0	0	0	1	0	0
4				0	0	0	0	0	0	0
5				0	0	0	0	0	0	0
6				0	0	0	0	0	0	0
7				0	0	0	0	0	0	0
8				0	0	0	0	0	0	0

LIS(3,5)

LIS(6,7)

LIS(8,9)

n=8

Result:

FASTLIS(A[1..n]):

$A[0] \leftarrow -\infty$

⟨⟨Add a sentinel⟩⟩

for $i \leftarrow 0$ to n

⟨⟨Base cases⟩⟩

$LISbigger[i, n+1] \leftarrow 0$

for $j \leftarrow n$ down to 1

for $i \leftarrow 0$ to $j-1$

⟨⟨... or whatever⟩⟩

$keep \leftarrow 1 + LISbigger[j, j+1]$

$skip \leftarrow LISbigger[i, j+1]$

if $A[i] \geq A[j]$

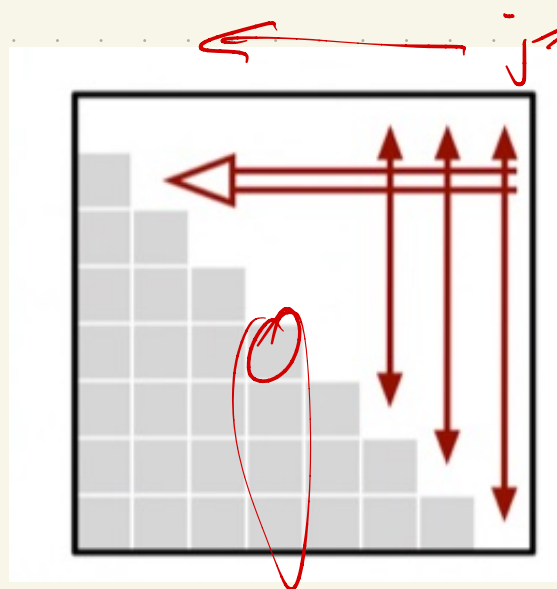
$LISbigger[i, j] \leftarrow skip$

else

$LISbigger[i, j] \leftarrow \max\{keep, skip\}$

return $LISbigger[0, 1]$

Picture:



Edit distance:

HUGE in bioinformatics!

One of the basic tools in
sequence alignment.

(I have a book with an
entire chapter on how to
optimize.)

Also: spell checkers, word prediction,
etc.

How to begin? (Recursively!)

ALGORITHM

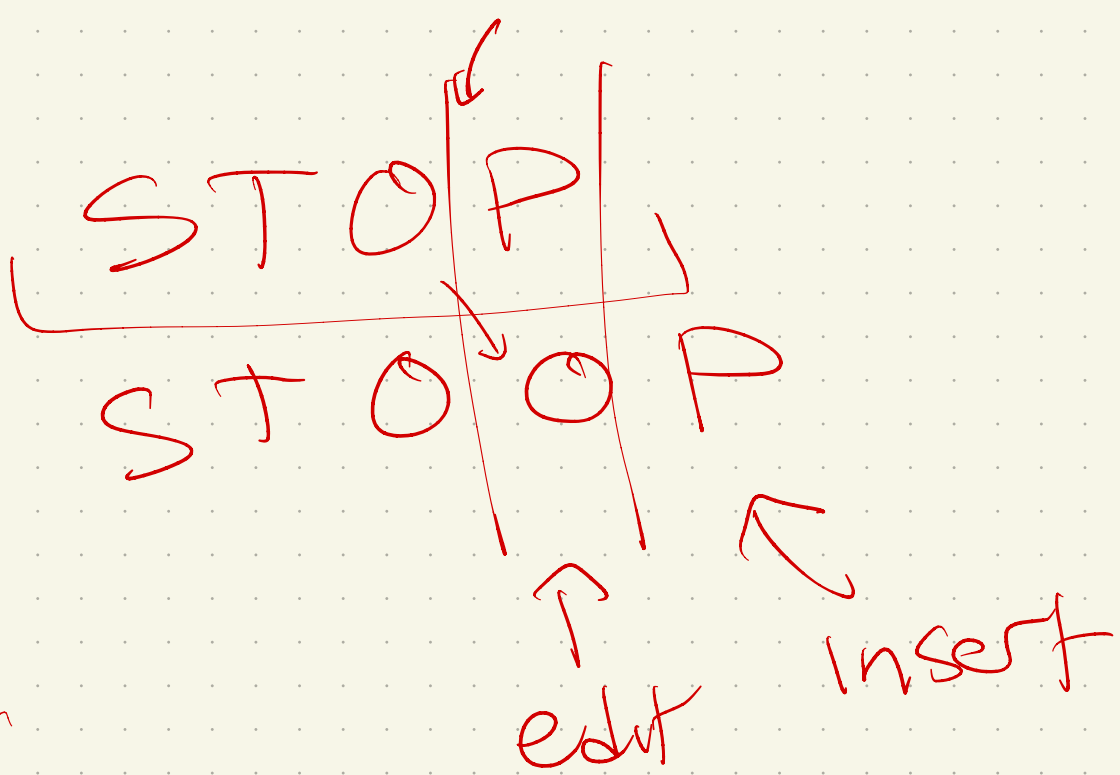
ALTRUISTIC

Start at end, & ask "obvious"

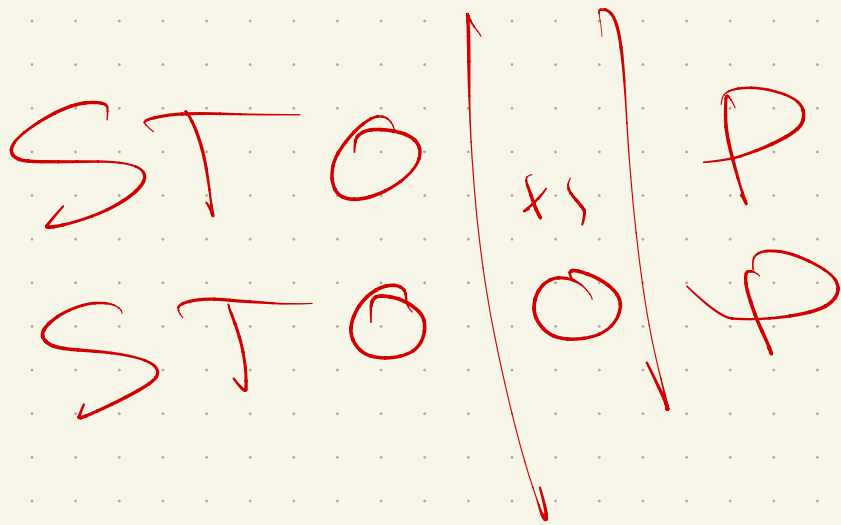
question:

insert, delete, edit

try them all!



instead;
insert(0)



Let's try:

A: ALGORITHM

B: ALTRUISTIC

Start at end:

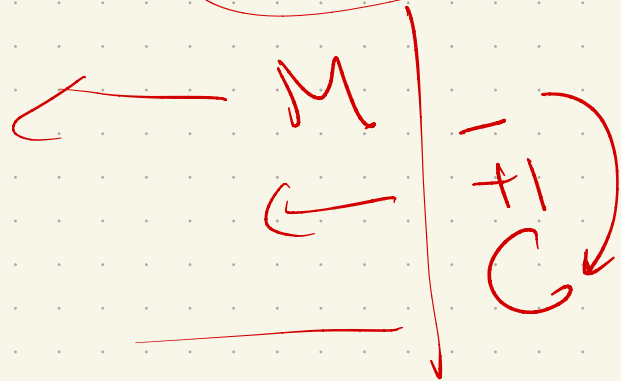
Align:

edit $A[m]$
to $B[n]$

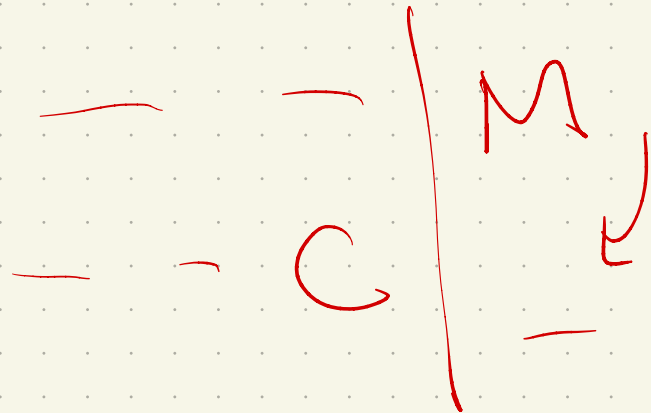
$(\text{or } +1)$
 $A =$

M
 $+1$
 C

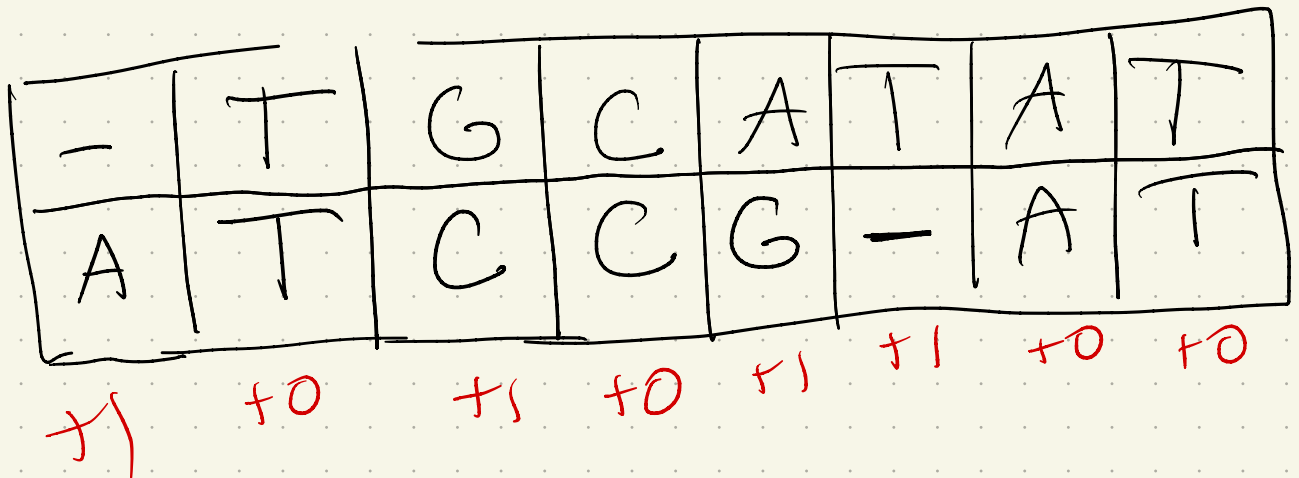
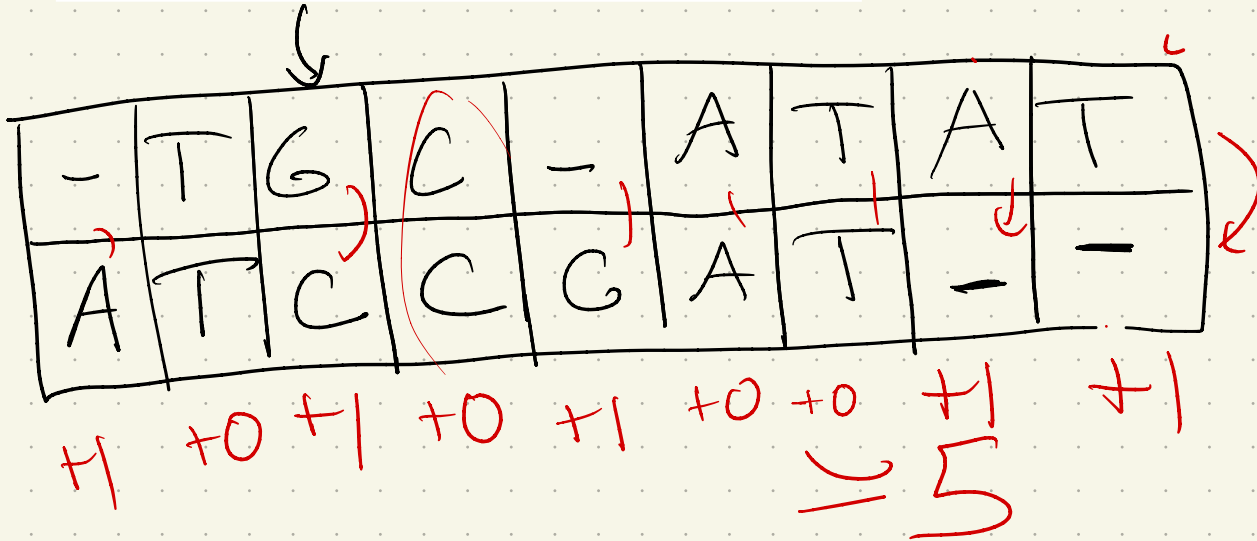
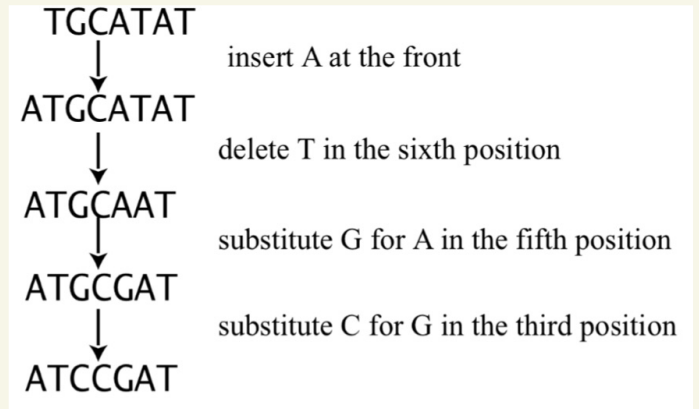
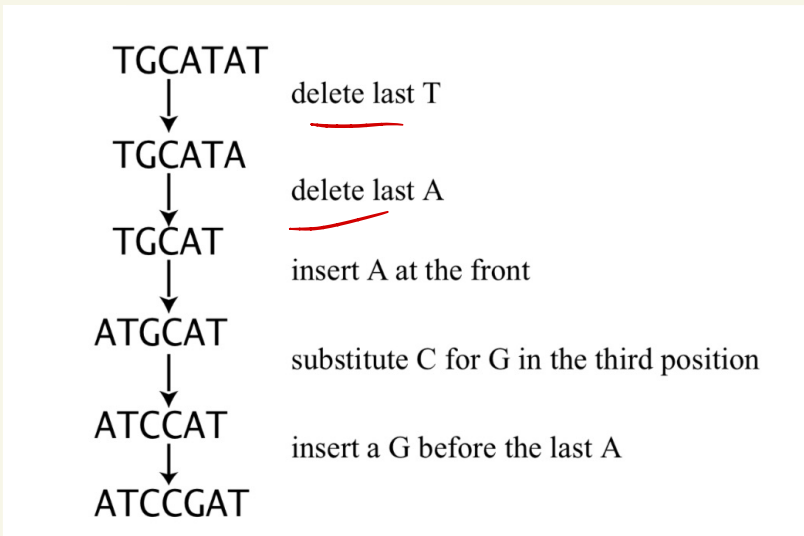
Insert



Delete:



Example: TGCATAT to ATCCGAT

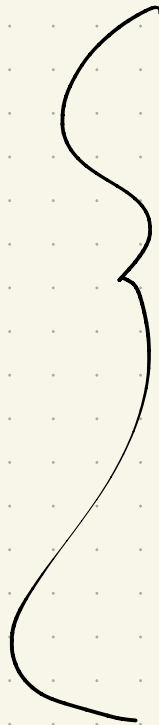


↳ cost 4

Input: $A[1..m]$
 $B[1..n]$

Edit (,)

$\Rightarrow \min$



* Base cases!

This way:

$$\text{Edit}(i, j) = \begin{cases} i & \text{if } j = 0 \\ j & \text{if } i = 0 \\ \min \left\{ \begin{array}{l} \text{Edit}(i, j-1) + 1 \\ \text{Edit}(i-1, j) + 1 \\ \text{Edit}(i-1, j-1) + [A[i] \neq B[j]] \end{array} \right\} & \text{otherwise} \end{cases}$$

So: what's our "memory" data structure?

Then, our algorithm:

- start w/ base case

(row & column)

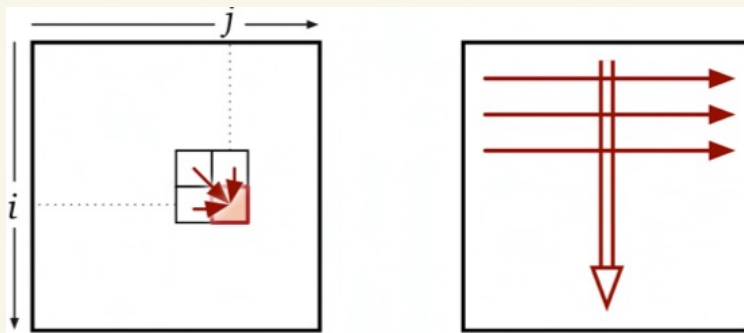
- Fill in $\begin{matrix} \uparrow \\ \downarrow \end{matrix}$

$$\text{Edit}(i, j) = \begin{cases} i & \text{if } j = 0 \\ j & \text{if } i = 0 \\ \min \left\{ \begin{array}{l} \text{Edit}(i, j-1) + 1 \\ \text{Edit}(i-1, j) + 1 \\ \text{Edit}(i-1, j-1) + [A[i] \neq B[j]] \end{array} \right\} & \text{otherwise} \end{cases}$$

Result:

```
EDITDISTANCE( $A[1..m], B[1..n]$ ):  
  for  $j \leftarrow 0$  to  $n$   
     $Edit[0, j] \leftarrow j$   
  for  $i \leftarrow 1$  to  $m$   
     $Edit[i, 0] \leftarrow i$   
    for  $j \leftarrow 1$  to  $n$   
       $ins \leftarrow Edit[i, j - 1] + 1$   
       $del \leftarrow Edit[i - 1, j] + 1$   
      if  $A[i] = B[j]$   
         $rep \leftarrow Edit[i - 1, j - 1]$   
      else  
         $rep \leftarrow Edit[i - 1, j - 1] + 1$   
       $Edit[i, j] \leftarrow \min \{ins, del, rep\}$   
  return  $Edit[m, n]$ 
```

Picture:



Question:

Can we do better?

A really good question!

Lots of attention in
bioinformatics.

Clever divide and conquer
can reduce space.

↳ but will give #, not
sequence, w/out some
nice tricks

Subset sum (revisited)

Key takeaway (I think):

Sometimes, our backtracking recurrences can be memoized

(Note: Sometimes, they can't!

Think n queens.)

Recall:

Given a set $X[1..n]$ of numbers + a target T ,
find a subset of X whose
sum is $= T$.

Ch2 solution

⟨⟨Does any subset of X sum to T ?⟩⟩

SUBSETSUM(X, T):

```
if  $T = 0$ 
  return TRUE
else if  $T < 0$  or  $X = \emptyset$ 
  return FALSE
else
   $x \leftarrow$  any element of  $X$ 
   $with \leftarrow$  SUBSETSUM( $X \setminus \{x\}, T - x$ )  ⟨⟨Recurse!⟩⟩
   $wout \leftarrow$  SUBSETSUM( $X \setminus \{x\}, T$ )  ⟨⟨Recurse!⟩⟩
  return ( $with \vee wout$ )
```

⟨⟨Does any subset of $X[1..i]$ sum to T ?⟩⟩

SUBSETSUM(X, i, T):

```
if  $T = 0$ 
  return TRUE
else if  $T < 0$  or  $i = 0$ 
  return FALSE
else
   $with \leftarrow$  SUBSETSUM( $X, i - 1, T - X[i]$ )  ⟨⟨Recurse!⟩⟩
   $wout \leftarrow$  SUBSETSUM( $X, i - 1, T$ )  ⟨⟨Recurse!⟩⟩
  return ( $with \vee wout$ )
```

The recursion:

(Note: same thing as code!!)

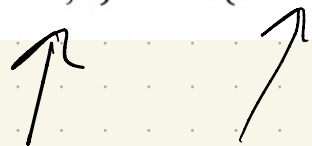
$$SS(i, t) = \begin{cases} \text{TRUE} & \text{if } t = 0 \\ \text{FALSE} & \text{if } t < 0 \text{ or } i > n \\ SS(i + 1, t) \vee SS(i + 1, t - X[i]) & \text{otherwise} \end{cases}$$

$$SS(i, t) = T \text{ or } F$$

$0 \leq i \leq n$ $0 \leq t \leq T$

So: another 2-d table!
 To decide:

$$SS(i, t) = \begin{cases} \text{TRUE} & \text{if } t = 0 \\ \text{FALSE} & \text{if } t < 0 \text{ or } i > n \\ SS(i+1, t) \vee SS(i+1, t-X[i]) & \text{otherwise} \end{cases}$$



 look at these 2
 cells.

one note: if $t - X[i] < 0$, wasting
 time! Equivalent to:

$$SS(i, t) = \begin{cases} \text{TRUE} & \text{if } t = 0 \\ \text{FALSE} & \text{if } i > n \\ SS(i+1, t) & \text{if } t < X[i] \\ SS(i+1, t) \vee SS(i+1, t-X[i]) & \text{otherwise} \end{cases}$$

Now - need to code this:

$$SS(i, t) = \begin{cases} \text{TRUE} & \text{if } t = 0 \\ \text{FALSE} & \text{if } i > n \\ SS(i+1, t) & \text{if } t < X[i] \\ SS(i+1, t) \vee SS(i+1, t-X[i]) & \text{otherwise} \end{cases}$$

How should our loops go?



Fill:

His code:

```
FASTSUBSETSUM( $X[1..n], T$ ):  
   $S[n+1, 0] \leftarrow \text{TRUE}$   
  for  $t \leftarrow 1$  to  $T$   
     $S[n+1, t] \leftarrow \text{FALSE}$   
  
  for  $i \leftarrow n$  downto 1  
     $S[i, 0] \leftarrow \text{TRUE}$   
    for  $t \leftarrow 1$  to  $X[i] - 1$   
       $S[i, t] \leftarrow S[i+1, t]$     ⟨⟨Avoid the case  $t < 0$ ⟩⟩  
    for  $t \leftarrow X[i]$  to  $T$   
       $S[i, t] \leftarrow S[i+1, t] \vee S[i+1, t - X[i]]$   
  
  return  $S[1, T]$ 
```

Correctness:

Time/Space Analysis:

Note:

How big is this, & is it even a good idea??

input: number T and array $X[1..n]$

table has a column for every number $1..T$.

How bad?

Well, X could be a list of 5000 #s, but T could be in the millions!

(lots of empty columns, many of which are impossible to hit!)